



Dkt. 43016-A-PCT-US/JPW/CY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Philip O. Livingston and Friedhelm Helling  
Serial No.: 08/196,154 Examiner: A. Holleran  
Filed : November 16, 1995 Group Art Unit: 1642  
For : GANGLIOSIDE-KLH CONJUGATE VACCINES WITH QS-21

1185 Avenue of the Americas  
New York, New York 10036

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

TERMINAL DISCLAIMER

Sloan-Kettering Institute for Cancer Research, 1275 York Avenue, New York, New York 10021 ("Sloan-Kettering") is the Assignee of record of the entire right, title and interest in and to this application by virtue of an Assignment from Philip O. Livingston and Friedhelm Helling to Sloan-Kettering which was recorded in the U.S. Patent and Trademark Office on January 21, 1994 at Reel No. 014168, Frames 0952-0955, a copy of which is attached hereto as **EXHIBIT 1**, in connection with PCT International Application No. PCT/US94/00757. The present application, U.S. Serial No. 08/196,154, is the \$371 national stage of PCT International Application No. PCT/US94/00757.

Sloan-Kettering is also the Assignee of record of the entire right, title and interest in and to U.S. Serial No. 08/477,097, filed June 7, 1995, by virtue of an Assignment from Philip O. Livingston and Friedhelm Helling to Sloan-Kettering which was recorded in the U.S. Patent and Trademark Office on November 9, 1995 at Reel No. 7707, Frames 0968-0971, a copy of which is attached hereto as **EXHIBIT 2**.

**BEST AVAILABLE COPY**



Docket No. 1884/43016-A-PCT-US/JPW/CY

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Serial No. : 08/196,154 Examiner: A. Holleran  
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Mail Stop Amendment  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: March 17, 2005

OB 3125  
2814 6500

Sir:

Transmitted herewith is an amendment to the above-identified application.

☒ Small entity status of this application under 37 C.F.R. \$1.9 and \$1.27 has been previously established.

☐ A verified statement to establish small entity status under 37 C.F.R. \$1.9 and \$1.27 is enclosed.

☒ No additional fee is required.

The filing fee is calculated as follows:

	Number after Amend-ment	Highest Number Previously Paid For <sup>1</sup>	Number of Extra Claims Presented	RATE			FEE	
				Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	20 -	* 32 =	*** 0 X	\$25	\$50	=	\$0	\$0
Indepen- -dent Claims	3 -	** 13 =	*** 0 X	\$100	\$200	=	\$0	\$0
Multiple Dependent Claim(s) Presented For First Time Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>				\$180	\$360	=	\$0	\$0
				TOTAL ADDITIONAL FEE			\$ 0.00	

- <sup>1</sup> The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims originally filed.  
\* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.  
\*\* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.  
\*\*\* If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0".

Applicant(s): Philip O. Livingston and Friedhelm Helling

Serial No. : 08/196,154

Filed : November 16, 1995

Amendment Transmittal Letter

Page 2

The following are also enclosed:

☒ One additional copy of this Amendment Transmittal Letter

☒ Return Receipt Postcard

☐ An Information Disclosure Statement, including Form PTO-1449

(Copies of citations included: Yes ☐ No ☐

and a fee of \$  included)

☐ A Petition for an Extension of Time, including a fee of  
\$  for a Petition for  Month(s) Extension of Time

☐ Other (identify):

THE TOTAL FEE DUE IS \$ 0.00.

☐ A check in the amount of \$  is enclosed.

☐ Please charge Deposit Account No.  in the amount of  
\$ .

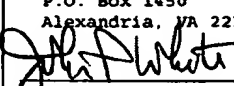
☒ The Commissioner is hereby authorized to charge any additional fees  
required or credit any overpayment to Deposit Account No. 03-3125  
as follows:

☒ Fees under 37 C.F.R. \$1.16 for the presentation of extra claims  
☐ Patent application processing fees under 37 C.F.R. \$1.17

Respectfully submitted,



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I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450.	
	3/17/05
John P. White Reg. No. 28,678	Date